



SYCAMORE
financial planning

Socially Responsible Investing Questionnaire

Personal & Confidential

Client Name

Date

Sycamore Financial Planning provides investment recommendations to investors who wish to incorporate their values in the construction of their portfolios. In order to facilitate this process, we would like to know to what extent you wish to include or exclude in your portfolio companies that exhibit the characteristics highlighted in this questionnaire. We recommend limiting these selections to the areas of greatest concern; the more extensive your criteria are for socially responsible investments, the fewer investment options there will be available to implement your portfolio.

For the issues below that matter to you, please select a priority (high, medium, low) to indicate how important each is to your investment decisions, and provide additional comments where necessary. If you omit a category it will not be used to select mutual funds to meet your portfolio preferences.

Issue Category	Importance			Comments
	High	Med	Low	
Alcohol – Whether a company has more or less involvement in the alcohol industry.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Adult Entertainment – Whether a company has more or less involvement in the adult entertainment industry.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Animal Testing – Whether or not a company uses animals in testing pharmaceutical and/or non-pharmaceutical products.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Bioethics – If a company has more or less involvement in genetics and biotechnology research/product development.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Diversity – Whether a company has more or less women and minority board members.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Environmental – Whether a company has strong or weak environmental performance and trends.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Firearms – Whether a company has more or less involvement in firearms and ammunition manufacturing.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Gambling – Whether a company has more or less involvement in the gambling industry.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	

Issue Category	Importance			Comments
	High	Med	Low	
Human Rights – Evaluation of the human rights practices of a U.S.-based company’s international operations.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Labor Relations – Whether a company has a better or worse relationship with organized labor and its strike record.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Life / Choice – Evaluation if a company has more or less involvement in contraceptives, abortifacients, or abortion services.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Military – Evaluation if a company has more or less involvement in weapons and non-weapons contracting with the U.S. DOD.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Nuclear Power – Whether a company has more or less involvement in the nuclear power industry.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Recognition – Evaluation if a company has been recognized for encouraging diversity and creating a positive workplace.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Sexual Orientation – Whether or not a company supports gay and lesbian employees in their employment practices.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Tobacco – Whether a company has more or less involvement in the tobacco industry.	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Other:	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Other:	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	